

Creep Deformation of Polycrystalline Mullite*

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Abstract

Creep tests for polycrystalline mullites ($3\text{Al}_2\text{O}_3 \cdot 2\text{SiO}_2$; average grain sizes, $d = 1.4$ and $2.1 \mu\text{m}$) were carried out in air at temperatures between 1365 and 1480°C . The dependence of steady-state strain rates, $\dot{\epsilon}$, on stress and grain size was given by $\dot{\epsilon} \propto \sigma/d^{2.5}$ at lower temperatures ($< 1460^\circ\text{C}$) and lower stresses ($< 100 \text{MPa}$), suggesting the deformation mechanism is diffusional creep. Significant cavitation was not observed for deformed specimens. The effective diffusion coefficients were calculated using the rate equation for diffusional creep of mixed oxides, showing very high activation energy (810kJ mol^{-1}). The strain rate apparently increased at higher stresses where fracture often occurred during creep testing within small beam deflections. The SEM examination of fractured specimens revealed two types of fracture mode: slow crack growth pattern observed on the fracture surface of specimens with the small grain size, and cavitation at grain boundaries (intergranular separation) observed on the tensile surface of specimens with the larger grain size.

An polykristallinem Mullit ($3\text{Al}_2\text{O}_3 \cdot 2\text{SiO}_2$ mit einer durchschnittlichen Korngröße von 1.4 – $2.1 \mu\text{m}$) wurden zwischen 1365 und 1480°C an Luft Kriechversuche durchgeführt. Die Abhängigkeit der stationären Kriechgeschwindigkeit $\dot{\epsilon}$ von der Spannung und der Korngröße bei tieferen Temperaturen ($< 1460^\circ\text{C}$) und geringeren Spannungen ($< 100 \text{MPa}$) ergab sich zu $\dot{\epsilon} \propto \sigma/d^{2.5}$ was auf Kriechen durch Diffusion als Verformungsmechanismus schließen läßt. Nennenswerte Porenbildung an Korngrenzen wurde für die verformten Proben nicht beobachtet. Die tatsächlichen

Diffusionskoeffizienten wurden aus der Gleichung für Kriechen durch Diffusion gemischter Oxide berechnet und zeigten sehr hohe Aktivierungsenergien (810kJ mol^{-1}). Die Verformungsgeschwindigkeit nahm offensichtlich bei höherer Spannung, wo der Bruch oft während des Kriechversuchs bei kleinen Auslenkungen stattfand, zu. Die rasterelektronenmikroskopische Untersuchung gebrochener Proben zeigte zwei verschiedene Bruchmuster: an der Bruchfläche der Proben mit der kleinen Korngröße wurden Merkmale langsamen Rißwachstums beobachtet, an den Proben mit der größeren Korngröße wurde an der Zugseite der Proben Porenbildung an der Korngrenze (Separation der Körner) beobachtet.

On a effectué des essais de fluage sur des mullites polycristallines ($3\text{Al}_2\text{O}_3 \cdot 2\text{SiO}_2$; tailles moyennes des grains $d = 1.4$ et $2.1 \mu\text{m}$) dans l'air à des températures comprises entre 1365 et 1480°C . La vitesse de déformation en régime permanent $\dot{\epsilon}$ en fonction de la contrainte et de la taille des grains est donnée par la relation $\dot{\epsilon} \propto \sigma/d^{2.5}$ à basses températures ($< 1460^\circ\text{C}$) et pour des contraintes faibles ($< 100 \text{MPa}$), suggérant que le mécanisme de déformation est un fluage diffusif. On n'a pas observé de cavitation notable dans les échantillons déformés. Les coefficients de diffusion effectifs ont été calculés à partir de l'équation de vitesse de fluage diffusif pour les mélanges d'oxydes, et présentent une énergie d'activation très élevée (810kJ mol^{-1}). La vitesse de déformation croît à l'évidence pour des contraintes élevées où la rupture se produit souvent durant l'essai de fluage à faible allongement de l'éprouvette. L'examen des échantillons révèle deux modes de rupture: propagation lente des fissures observée sur la surface de rupture des échantillons à grains fins et cavitation aux joints de grains (décohésion intergranulaire) sur la surface en traction des éprouvettes à gros grains.

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1 Introduction

Dense polycrystalline mullites with a little or no glassy phases have become available by sintering fine mullite powders,¹⁻³ and the mechanical properties, including the creep behavior, of those ceramics have been reported.³⁻⁸ Although mullite polycrystals were shown to have excellent creep resistance at high temperatures, the deformation mechanisms are not completely clarified because of insufficient data on the creep behavior (the dependence of creep rate on grain size, stress and temperature) and on the diffusivity of ions in mullite. The deformation of polycrystalline silicates such as mullite and forsterite (olivine), itself, is of interest.

In the present study, bending creep tests were carried out to elucidate the deformation mechanisms. Some data on creep fracture were also obtained during the course of experiments.

2 Experimental

The polycrystalline mullite samples were obtained by sintering a fine powder prepared using the sol-gel method by Chichibu Cement Co., Tokyo, Japan, of nominally stoichiometric mullite ($3\text{Al}_2\text{O}_3 \cdot 2\text{Si}_2\text{O}_3$) at 1650°C for 2 h (sample A). (The major impurities are $\text{TiO}_2 < 0.2 \text{ wt}\%$, $\text{Fe}_2\text{O}_3 < 0.01 \text{ wt}\%$, Na_2O and $\text{K}_2\text{O} < 0.01$. The lattice parameters determined by the XRD measurements are: $a = 7.5466$, $b = 7.6932$ and $c = 2.8847 \text{ \AA}$. The samples were sintered by Hitachi Zosen, Corp., Osaka, Japan.) A few of these samples were subsequently annealed at 1680°C for 10 h to obtain coarser grained samples (sample B). The average grain sizes of samples A and B were 1.4 and $2.1 \mu\text{m}$, respectively (the average linear intercepts multiplied by the factor of 1.5). The specimens with average dimensions of $2 \times 4.5 \times 45 \text{ mm}$ were machined, polished, and then chamfered.

Creep tests were carried out in air in four-point bending using fixtures made of dense, coarse-grained alumina, at temperatures between 1365 and 1480°C , and at stresses ranging from 10 to 100 MPa . The major and minor spans were 35 and 11 mm , respectively. After an apparent steady state occurred at an applied load, the load was increased (incremental stress testing). For a bend bar with rectangular cross-section of width w and height h , the outer-fiber stress, σ , and the outer-fiber strain rate, $\dot{\epsilon}$, were calculated using the equations derived by Hollenberg *et al.*:⁹

$$\sigma = \frac{3(L-l)P}{2wh^2} \left(\frac{2n+1}{3n} \right) \quad (1)$$

$$\dot{\epsilon} = \frac{2h(n+2)\dot{x}}{(L-l)[L+l(n+1)]} \quad (2)$$

Here, P is the load, n is the stress exponent, \dot{x} is the load point displacement rate, L and l are the major and minor spans, respectively. The calculation was limited to the maximum outer-fiber strain of $\approx 3\%$.

The tensile surfaces and creep-fracture surfaces were examined in a scanning electron microscope (SEM) with attention to grain growth, creep damage (cavitation) and fracture mode.

3 Results

Figure 1 shows the microstructures of as-received samples. Some pores are present at grain boundaries. Apparent glassy phases are not observed. However, traces of a glassy phase at the grain boundaries were reported for a mullite which was sintered using the

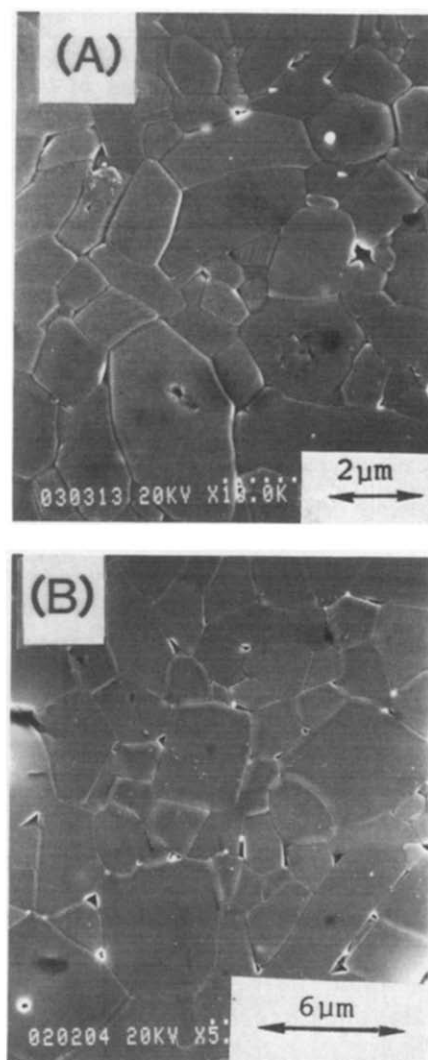


Fig. 1. Microstructures of mullite samples A and B, thermally etched after polishing.

Table 1. Some mechanical properties of sample A

Density (% theoretical)	> 98
Young's modulus, E (GPa) ^a	224
Flexural strength, σ_f (MPa)	301 ± 17
Vickers hardness, H_v (GPa)	10.2 ± 0.4
Fracture toughness, K_{IC} (MPam ^{1/2}) ^b	2.7 ± 0.1

^a Flexural resonance technique.

^b Indentation fracture method. $K_{IC} = 0.036E^{0.4}P_i^{0.6}a^{-0.7}(c/a)^{-1.5}$, where P_i is the indentation load, a is the impression radius and c is the radial crack length.¹⁰

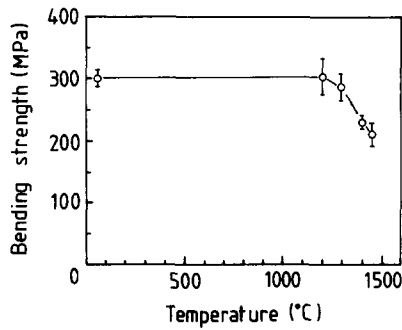


Fig. 2. Flexural strength as a function of temperature for sample A, tested in three-point bending (span = 30 mm).

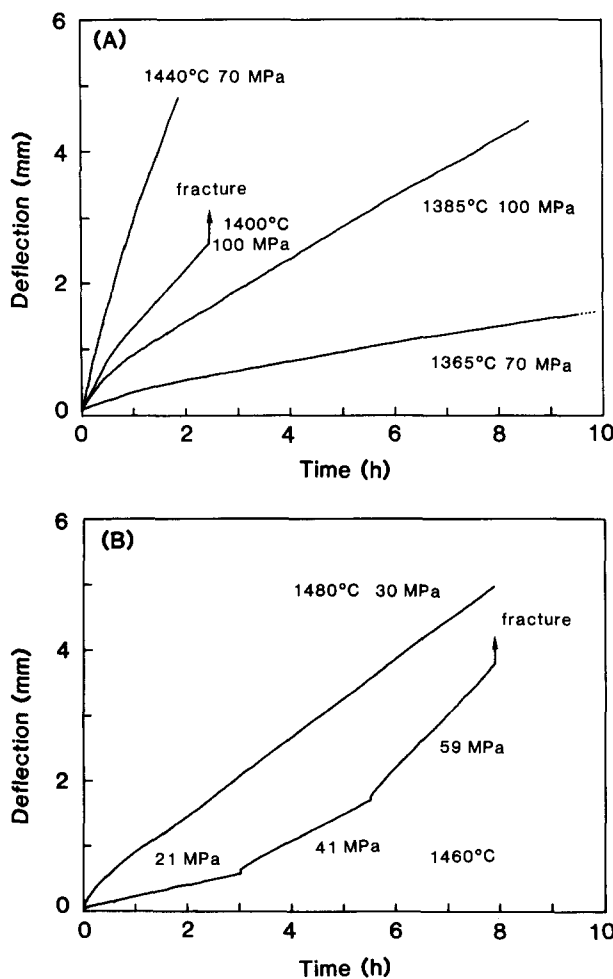


Fig. 3. Creep curves for (A) sample A and (B) sample B. The incremental stress testing is shown in (B).

same powder.⁷ Some mechanical properties of sample A are given in Table 1. Figure 2 shows the flexural strength as a function of temperature (sample A).

Figure 3 shows some typical creep curves for samples A and B. After a transient creep for 1 to 2 h, an apparent steady state was established. The tertiary creep was not observed at the stress where creep fracture occurred. The plots of steady-state strain (creep) rates, $\dot{\epsilon}$, versus stress, σ , are shown in Fig. 4. The stress exponent, n , was ≈ 1 at lower temperatures and lower stresses (i.e. Newtonian behavior), while it increased at higher temperatures (1480°C and 1460°C at higher stresses for sample A). It is also noted that the strain rates increased at higher stresses just lower than those at which creep fracture occurred, resulting in the increase in the value of n . Figure 5 shows the dependence of strain

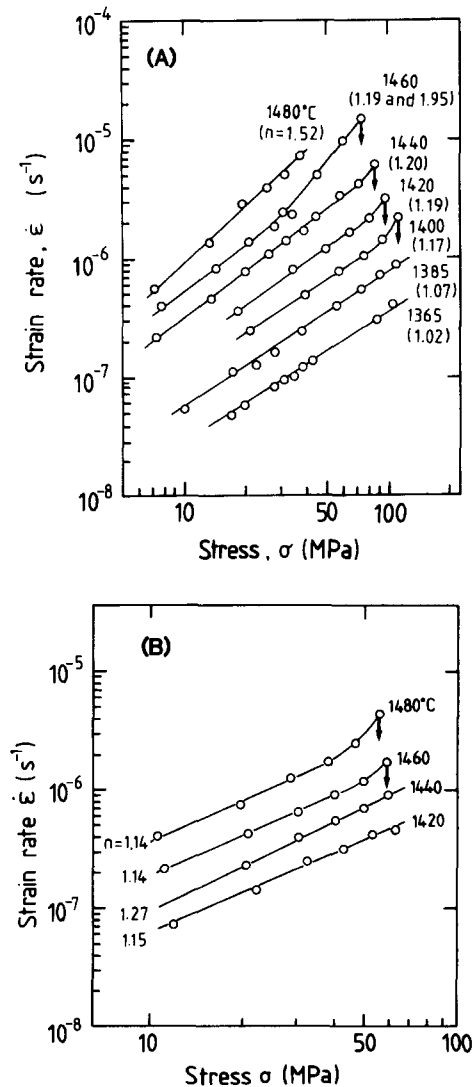


Fig. 4. Steady-state strain rate versus stress for (A) sample A and (B) sample B. The arrows indicate the stresses at which the specimens fractured during creep testing within apparent outer-fibre strain $\leq 3\%$.

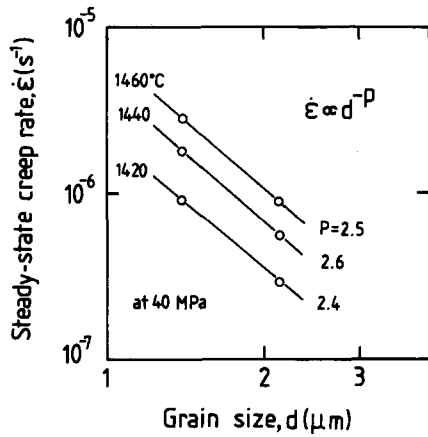


Fig. 5. Effect of grain size on strain rate for creep of mullite in the Newtonian region ($n \approx 1$).

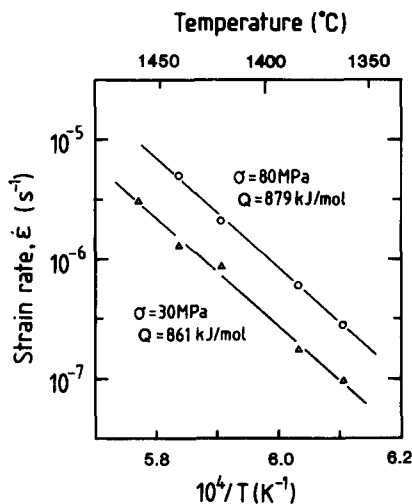


Fig. 6. Temperature dependence of strain rate for mullite (sample A).

rate on grain size, d , at a stress of 40 MPa (Newtonian region), indicating $p \approx 2.5$, where p is the grain size exponent defined by $\dot{\epsilon} \propto \sigma^n/d^p$. The temperature dependence of strain rate is shown in Fig. 6, indicating a relatively high activation energy for creep deformation ($\approx 870 \text{ kJ mol}^{-1}$). The specimens which have deformed in the Newtonian region show no evidence of cavitation on the tensile surface. No grain growth during creep testing occurred in both samples.

4 Discussion

The results shown in Figs 4 and 5 suggest that the deformation of both mullite samples is diffusional creep in a large portion of the stress–temperature field tested. In the diffusional creep of mullite ($\text{Al}_6\text{Si}_2\text{O}_{13}$), the strain rate is given by^{11,12}

$$\dot{\epsilon} = \frac{14\sigma\Omega_M}{d^2kT} D_{\text{eff}} \quad (3)$$

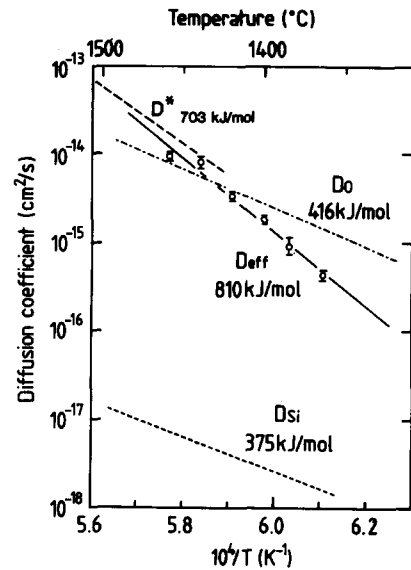


Fig. 7. Effective diffusion coefficients (D_{eff}) calculated from creep strain rates using eqn (3) for diffusional creep region ($n \approx 1$). D_{O} and D_{Si} are diffusion coefficients of $\text{O}^{14,16}$ and $\text{Si}^{14,17}$ respectively, in forsterite. D^* is diffusion coefficients in mullite.¹⁵ Activation energies are specified on the figure.

where Ω_M is the ‘molecular’ volume ($= 2.23 \times 10^{-22} \text{ cm}^3$ for stoichiometric mullite), k is Boltzmann’s constant, T is the temperature and D_{eff} is the effective diffusion coefficient for the ‘molecule’ $\text{Al}_6\text{Si}_2\text{O}_{13}$:

$$D_{\text{eff}} = \frac{1}{(6/D_{\text{Al}}^e) + (2/D_{\text{Si}}^e) + (13/D_{\text{O}}^e)} \quad (4)$$

where D_{A}^e is the effective diffusion coefficient of ion A ($\text{A} = \text{Al}, \text{Si}$ and O):

$$D_{\text{A}}^e = D_{\text{A}}^l + (\pi/d)\delta D_{\text{A}}^b \quad (5)$$

where D_{A}^l is the lattice diffusion coefficient of A, D_{A}^b is the boundary diffusion coefficient of A and δ is the grain-boundary width. Considering the structure of mullite, which has a number of oxygen vacancies in the lattice,¹³ and taking account of the analogy to the structure of forsterite (Mg_2SiO_4),¹⁴ it is inferred that $D_{\text{Al}}^l > D_{\text{O}}^l > D_{\text{Si}}^l$. At present, however, there is little information on the diffusion coefficients of ions in mullite, with an exception of the data reported by Aksay *et al.*¹⁵ The grain-size exponent p ($= 2.5$) shown in Fig. 5 suggests that the diffusion of the rate-limiting species both through the lattice and along the grain boundaries controls the deformation (eqn (5)); i.e. both Nabarro–Herring creep and Coble creep contribute to the overall strain rate. Effective diffusion coefficients calculated in the diffusional creep region ($n \approx 1$) using eqn (3) are plotted in Fig. 7, where the least-squares line (solid line) is given by

$$D_{\text{eff}} = 3.6 \times 10^{10} \exp(-810 \pm 13 [\text{kJ mol}^{-1}]/RT) \quad [\text{cm}^2 \text{ s}^{-1}]$$

Table 2. Stress exponents (n) and activation energies (Q) for creep deformation of mullite

Testing	Temperature (°C)	n	Q (kJ mol ⁻¹)	Reference
Hot pressing	1450–1650	1.4	707	18
Bending	1350–1450	1.0	687	4
Compression	1400–1500	1.0	710	5
Tension	1350–1450	1.6	900	19
Bending	1400–1500	1.3	—	20
Bending	1400–1500	1.4	703	21
Compression	1400–1550	1.3	1030	22
Bending	1365–1480	1.0	810 ^a	Present study

^a Q in D_{eff} .

Diffusivities in mullite¹⁵ and those of Si and O in forsterite^{14,16,17} are also plotted for comparison. Note that the activation energy in mullite is very high compared to those for Si and O in forsterite. The apparent activation energies and stress exponents for the deformation of mullite so far reported are listed in Table 2. The high value of activation energy in the present work as well as those reported by Kumazawa *et al.*¹⁹ and Ohira *et al.*²² is remarkable. These high activation energies are unlikely to be due to the contribution of grain-boundary diffusion to the deformation. Davis & Pask²³ have shown that the diffusion through a liquid of the Al₂O₃–SiO₂ system depends on the concentration of Al₂O₃ and shows higher apparent activation energies at lower Al₂O₃ contents (e.g., ≈ 1300 kJ mol⁻¹ at 4 wt% Al₂O₃). Furthermore, polycrystals containing glassy phases at grain boundaries deform via pressure solution (solution precipitation), showing high apparent activation energies.^{24–26} However, the stress exponents obtained at higher temperatures are higher than 1 (Fig. 4(A)), which implies dislocation creep ($n \geq 3$) or interface-reaction controlled deformation ($n = 2$) rather than solution precipitation ($n = 1$). Further testing, especially at higher temperatures, as well as the investigation of diffusion of ions through lattice and along grain boundaries in mullite are necessary.

Any cavity formation was not observed on the tensile surface of deformed and creep-fractured specimens of sample A (Fig. 8). A little cavitation, seen in Fig. 8(A), which shows the tensile surface of a specimen (deformed with $n \approx 2$), is not responsible for creep strain. On the fracture surface of sample A, a slow crack growth (SCG) pattern was observed (Fig. 9). Intergranular fracture is predominant in the semi-elliptical SCG region, while transgranular fracture is predominant in the outside of the region, showing catastrophic fracture. The apparent increase in strain rate at the fracture stresses (at

temperatures between 1400 and 1440°C in Fig. 4(A)) may be induced by slow crack growth. Supposing the SCG region is the origin of catastrophic fracture, though the samples are deformed, the fracture toughness was calculated approximately using the dimensions of the SCG pattern (the controlled surface flaw technique²⁷), resulting in an average value of 3.5 MPa m^{1/2} at 1420°C. Apparent fracture toughness appeared to increase at these high temperatures, in spite of the decrease in strength (see Table 1 and Fig. 1). Fast fracture in three-point bending (Fig. 2; elastic strain rate $\approx 1.7 \times 10^{-4}$ s⁻¹) was completely transgranular at these temperatures. Sample A has higher strength and higher toughness than those of the hot-pressed, translucent mullite investigated by Mah & Mazdiyasi.⁶

On the other hand, the creep fracture of sample B was completely intergranular, as seen in Fig. 9. In addition, many cavities (intergranular separation) were observed on the tensile surface of fractured specimens (Fig. 8(B)), though significant cavitation was not observed for the specimens deformed at lower stresses without fracture. The cavity nucleation and growth appeared to occur at the fracture stress without any apparent tertiary creep. These results suggest that the mechanism of creep fracture in sample B is different from that in sample A; i.e. slow crack growth from a fracture origin in sample A, and cavity growth at isolated origins and their coalescence in sample B. Thus, for sample B, the apparent increase in strain rate at stresses near the stress where creep fracture occurred, as seen in Fig. 4(B), seems to be due to cavity growth.

Stress and strain (rate) are not correctly evaluated using eqns (1) and (2) in the regions where the deformation is accompanied by creep damages.^{28,29} However, Newtonian flow without any significant cavitation was predominant at the temperatures and stresses tested, where the estimation of stress and strain rate was believed to be appropriate, although the investigation of deformation behavior in tension or compression may be desirable. Additional studies of the effect of glassy phases at grain boundaries, if any, on deformation and fracture are also required for further discussion.

5 Conclusions

- (1) In creep deformation of dense, single-phase, stoichiometric mullite polycrystals ($d = 1.4$ and $2.1 \mu\text{m}$), the dependence of steady-state strain rate on stress and grain size ($\dot{\epsilon} \propto \sigma/d^{2.5}$) suggests that diffusional creep dominates at

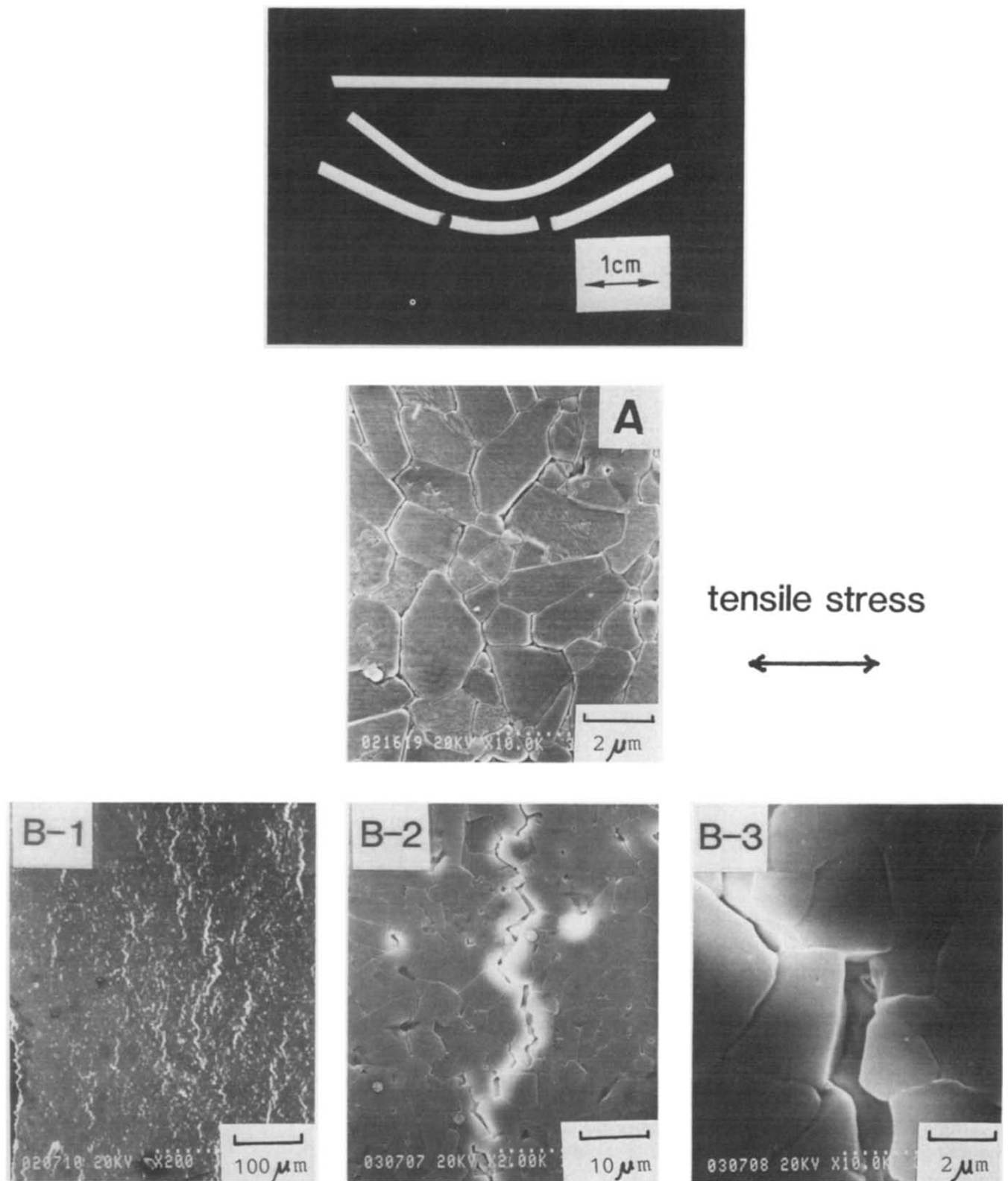


Fig. 8. Deformed and fractured samples and scanning electron micrographs of tensile surfaces of creep-fractured mullite for (A) sample A tested at 1460°C, 86 MPa; $\varepsilon = 2.8\%$, and (B) sample B tested at 1480°C, 56 MPa; $\varepsilon = 3.9\%$. Significant cavitation is observed on the tensile surface of sample B (B-1 to B-3).

tensile surface

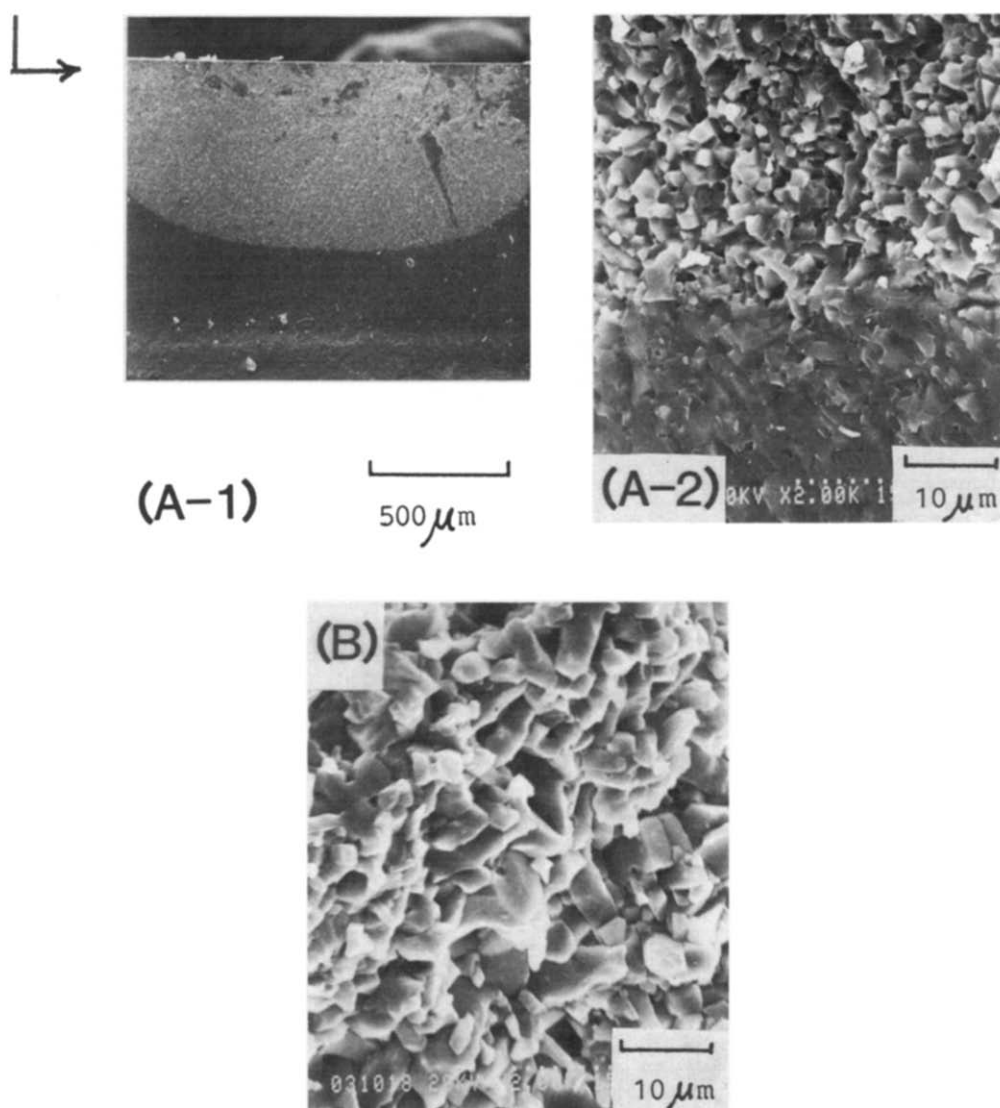


Fig. 9. Scanning electron micrographs of fracture surfaces. (A-1) Sample A tested at 1400°C and 113 MPa ($\epsilon = 2.6\%$), showing slow crack growth; (A-2) boundary region between SCG fracture and catastrophic, transgranular fracture; (B) sample B tested at 1480°C and 56 MPa ($\epsilon = 3.9\%$), showing intergranular fracture on the whole fracture surface.

lower temperatures ($< 1460^\circ\text{C}$) and at lower stresses ($< 100\text{ MPa}$). Significant cavitation was not observed for deformed specimens.

- (2) Effective diffusion coefficients calculated in the temperature range from 1360 to 1460°C are expressed as:

$$D_{\text{eff}} = 3.6 \times 10^{10} \exp\left(\frac{-810 \pm 13[\text{kJmol}^{-1}]}{RT}\right) \times [\text{cm}^2 \text{ s}^{-1}]$$

- (3) The increase in strain rate observed at higher stresses are due to slow crack growth (especially for sample A) or intergranular cavitation (especially for sample B).

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